



Part of #13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue Application of:

U.S. Patent No. : 5,815,927 Dated: October 15, 2002
Filing Date : January 11, 1996
Granted : October 6, 1998
Reissue Filing Date : October 6, 2000
Serial No. : 09/680,697
Patentee : Walter W. Collins
For : FOLDING KNIFE WITH ACTUABLE SAFETY LOCKING
MECHANISM

BOX M-FEE

United States Patent and Trademark Office
P.O. Box 371611
Pittsburgh, Pennsylvania 15250

Sir:

**SUBMISSION OF FIRST U.S. MAINTENANCE FEE AND SURCHARGE
AND STATEMENT OF UNAVOIDABLE DELAY**

In accordance with 35 U.S.C. 41 (c)(1) and 37 C.F.R. 1.20 (i)(1), submitted herewith is the requisite first maintenance fee of \$880.00 and surcharge of \$700.00 for the above-identified United States Letters Patent. The undersigned asserts that patentee is of large entity status and that a failure to pay the first maintenance fee within the grace period was unavoidable.

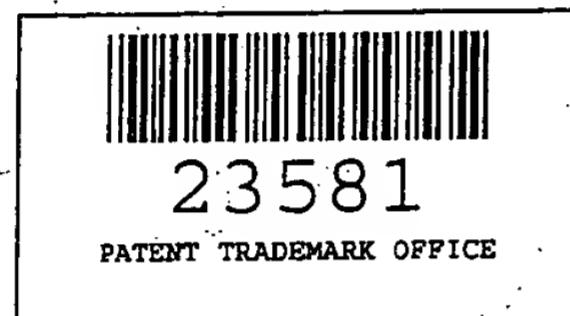
The failure to pay the maintenance fee was unavoidable because of an error in the docketing system, which resulted in failure to recognize that the maintenance fee was due. Reasonable care was exercised in designing and operating the docketing system, and the error was not appreciated until after the maintenance fee was due, as outlined in Exhibit A. Please charge Deposit Account No. 11-1540 for any additional fees which may be

required and credit same account for any overpayment. A duplicate copy of this transmittal letter is enclosed.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on October 15, 2002.

Tamara Daw
Tamara Daw
Date of Signature: October 15, 2002



Respectfully submitted,
KOLISCH, HARTWELL, DICKINSON,
McCORMACK & HEUSER

B. Anna McCoy
B. Anna McCoy
Registration No. 46,077
of Attorneys for Applicant
520 S.W. Yamhill Street, Suite 200
Portland, Oregon 97204
Telephone: (503) 224-6655
Facsimile: (503) 295-6679

KOLISCH, HARTWELL, DICKINSON, McCORMACK & HEUSER, P.C.

47921

U.S. Patent and Trademark Office
Client Costs Advanced:530 · Client Costs Adv.
Client Costs Advanced:530 · Client Costs Adv.

Maintenance Fee
Surcharge for Delay

10/15/2002

880.00
700.00

Cash in Bank New Wells KSW 312RI - Kai USA, Ltd.

1,580.00

Tamie



3724

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

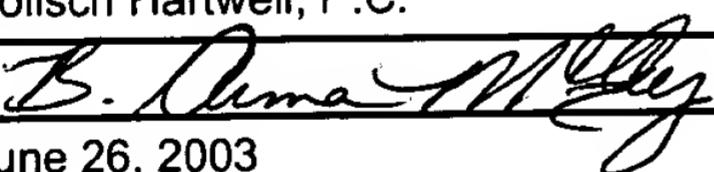
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

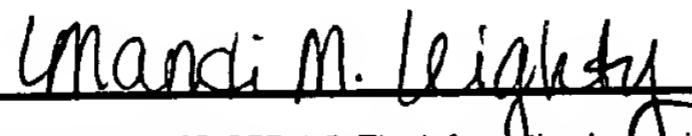
Application Number	09/680,697			
Filing Date	October 6, 2000			
First Named Inventor	Walter W. Collins			
Art Unit	3724			
Examiner Name	Dexter, Clark <i>TECHNOLOGY CENTER R3700</i>			
Total Number of Pages in This Submission	49	Attorney Docket Number	KSW 312RI	

RECEIVED*JUL 08 2003*

ENCLOSURES (Check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">See documents listed in Remarks below:</div>	<div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Remarks</div> <p style="margin: 0;">Copy of Submission of Ownership Interest & Consent to Reissue Application, Copies of 2 Agreements, Copy of Submission of First U.S. Maintenance Fee & Surcharge & Statement of Unavoidable Delay with Exhibits A & B, and Substitute Declaration of Walter W. Collins and Petition for Reissue.</p>
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	B. Anna McCoy Kolisch Hartwell, P.C.		
Signature			
Date	June 26, 2003		

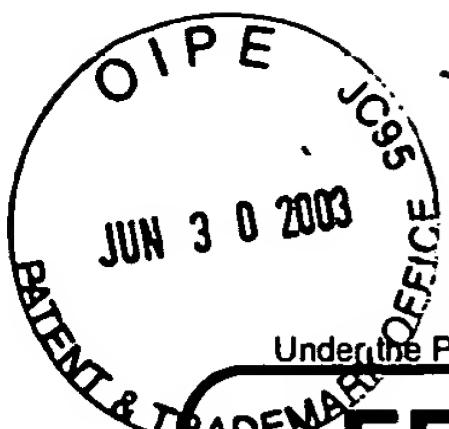
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Mandi M. Leighty		
Signature			Date
			June 26, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

Application Number	09/680,697
Filing Date	October 6, 2000
First Named Inventor	Walter W. Collins
Examiner Name	Dexter, Clark F.
Art Unit	3724
Attorney Docket No.	KSW 312 TECHNOLOGY CENTER R3700

RECEIVED
JUL 08 2003

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
Deposit Account Name

11-1540
Kolisch Hartwell, P.C.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$ 0.00)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
41	-20** = 0		x 84	= 0.00	
8	- 3** = 0		x 18.00	=	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$ 0.00)

(Complete if applicable)

Name (Print/Type)	B. Anna McCoy	Registration No. (Attorney/Agent)	46,077	Telephone (503) 224-6655
Signature	<i>B. Anna McCoy</i>			Date June 26, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 3 0 2003

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$0.00)

Complete if Known

Application Number	09/680,697
Filing Date	October 6, 2000
First Named Inventor	Walter W. Collins
Examiner Name	Dexter, Clark F.
Art Unit	3724
Attorney Docket No.	KSW 312RI

RECEIVED
JUL 03 2003
TECHNOLOGY CENTER
R3200

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number **11-1540**
 Deposit Account Name **Kolisch Hartwell, P.C.**

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$0.00)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
41	-20** = 0		x 84	= 0.00	
8	-3** = 0		x 18.00	=	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$0.00)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	2051 65	Surcharge - late filing fee or oath		
1052 50	2052 25	2052 25	Surcharge - late provisional filing fee or cover sheet		
1053 130	1053 130	1053 130	Non-English specification		
1812 2,520	1812 2,520	1812 2,520	For filing a request for ex parte reexamination		
1804 920*	1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action		
1805 1,840*	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		
1251 110	2251 55	2251 55	Extension for reply within first month		
1252 410	2252 205	2252 205	Extension for reply within second month		
1253 930	2253 465	2253 465	Extension for reply within third month		
1254 1,450	2254 725	2254 725	Extension for reply within fourth month		
1255 1,970	2255 985	2255 985	Extension for reply within fifth month		
1401 320	2401 160	2401 160	Notice of Appeal		
1402 320	2402 160	2402 160	Filing a brief in support of an appeal		
1403 280	2403 140	2403 140	Request for oral hearing		
1451 1,510	1451 1,510	1451 1,510	Petition to institute a public use proceeding		
1452 110	2452 55	2452 55	Petition to revive - unavoidable		
1453 1,300	2453 650	2453 650	Petition to revive - unintentional		
1501 1,300	2501 650	2501 650	Utility issue fee (or reissue)		
1502 470	2502 235	2502 235	Design issue fee		
1503 630	2503 315	2503 315	Plant issue fee		
1460 130	1460 130	1460 130	Petitions to the Commissioner		
1807 50	1807 50	1807 50	Processing fee under 37 CFR 1.17(q)		
1806 180	1806 180	1806 180	Submission of Information Disclosure Stmt		
8021 40	8021 40	8021 40	Recording each patent assignment per property (times number of properties)		
1809 750	2809 375	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))		
1810 750	2810 375	2810 375	For each additional invention to be examined (37 CFR 1.129(b))		
1801 750	2801 375	2801 375	Request for Continued Examination (RCE)		
1802 900	1802 900	1802 900	Request for expedited examination of a design application		
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	B. Anna McCoy	Registration No. (Attorney/Agent)	46,077	Telephone	(503) 224-6655
Signature	<i>B. Anna McCoy</i>			Date	June 26, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.